

S O I F E JC109  
 JAN 23 2001  
 PATENT AND TRADEMARK OFFICE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Kei-Yu Ko

Serial No.: 09/579,402

Filed: May 25, 2000

For: **GATE STACK STRUCTURE**

Examiner: Not Yet Assigned

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR § 1.97(b)**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Please find, pursuant to 37 CFR § 1.98(a)(1), the enclosed Form PTO-1449 which contains a Japanese patent, previously listed in the Form PTO-1449 filed on 10/03/2000, as an item that has come to the attention of one or more of the individuals designated in 37 CFR § 1.56(c). While no representation is made that this reference may be "prior art" within the meaning of that term under 35 USC § 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 CFR § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

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Statement of References Previously Disclosed  
Under 37 CFR § 1.98(d)

The following listed reference is enclosed because, under 37 CFR § 1.98(d), it was previously cited by or submitted to the Office in application number 08/958,290, filed on October 27, 1997, which is relied upon for an earlier filing date under 35 USC § 120. While a copy of JP 04-345054 was included in the Information Disclosure Statement filed October 3, 2000, a copy of its English translation is enclosed in the present filing.

**United States Patent Document**

<u>Patent Number</u>	<u>Issue Date</u>	<u>Name</u>	<u>Class</u>	<u>Sub Class</u>	<u>Filing Date</u>
5,821,594	10/13/98	Kasai	257	410	02/25/97
5,747,369	05/05/98	Kantimahanti et al.	438	241	01/13/97
5,422,308	06/06/95	Nicholls et al.	437	192	12/06/93
5,037,777	08/06/91	Mele et al.	437	195	07/02/90

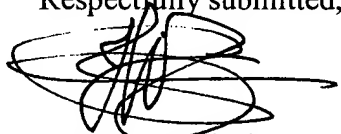
**Foreign Patent Document**

<u>Patent Number</u>	<u>Issue Date</u>	<u>Country</u>	<u>Class</u>	<u>Sub Class</u>	<u>Filing Date</u>
04-345,054	12-1-92	Japan	--	--	--

Please credit any over-payment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned.

Dated this 23 day of January, 2001.

Respectfully submitted,



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